

## CMF publishes for consultation regulatory amendments to enrollment of securities intermediaries, commodity exchange brokers

- The proposal amends General Rule No. 16 and updates procedures and requirements to enroll in the Registry of Stockbrokers and Securities Agents. It also establishes procedures and requirements to authorize securities intermediation services.
- General Rule No. 182 is also amended to update procedures and requirements to enroll in the Registry of Commodity Exchange Brokers.

**August 19, 2025 –** The Financial Market Commission (CMF) published for consultation today a regulatory proposal amending General Rules No. 16 and No. 182 pursuant to amendments to enrollment and authorization requirements for Securities Intermediaries and Commodity Exchange Brokers made by the Fintech Act (Law No. 21,521).

Amendments to General Rule No. 16 update procedures and requirements to enroll in the Registry of Stockbrokers and Securities Agents. It also establishes procedures and requirements to authorize securities intermediation services. Likewise, amendments to General Rule No. 182 update procedures and requirements to enroll in the Registry of Commodity Exchange Brokers.

Interested parties can access the <u>Regulations Under Consultation section</u> of the CMF website until September 8, 2025 to review the proposal in detail and submit their comments. The Commission also makes available the corresponding <u>Regulatory Report</u> with its core elements and impact assessment.

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Communication & Image Area — Financial Market Commission (CMF)

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