

CMF modifies entry into force of regulation on operational risk management for securities intermediaries and commodity exchange brokers

The new entry into force of said regulation coincides with General Rule No. 528, which defines instructions on integral risk management and corporate governance for securities intermediaries and commodity exchange brokers.

January 20, 2025 – The Financial Market Commission (CMF) modified the entry into force of the regulation extending operational risk management requirements for securities intermediaries and commodity exchange brokers, moving it to July 1, 2025. This is to ensure both the regulations on corporate governance, integral risk management, and operational risk become effective on the same date given they complement each other.

Interested parties can check the consolidated text of the amended regulation on the [Regulations section](#) of the CMF website.

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